(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 10 May 2001 (10.05.2001)

PCT

(10) International Publication Number WO 01/33677 A3

(51) International Patent Classification⁷: H01L 31/00, 33/00, 31/18, H01S 3/19

H01S 5/343.

(21) International Application Number: PCT/US00/41775

(22) International Filing Date:

1 November 2000 (01.11.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/162,813

1 November 1999 (01.11.1999) US

(71) Applicant (for all designated States except US): ARIZONA BOARD OF REGENTS [US/US]; Arizona State University, Tempe, AZ 85287-6006 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): JOHNSON, Shane [CA/US]; 742 E. Kesler Lane, Chandler, AZ 85225 (US). DOWD, Philip [GB/SG]; School of Electrical Engineering, Nanyang Avenue, Singapore 6397981 (SG). BRAUN,

Wolfgang [DE/DE]; Paul-Drude Institute for Solid State Electronics, Hausvogteiplatz 5-7, D-10117 Berlin (DE). ZHANG, Yong-Hang [CN/US]; 7259 E. Cortez Road, Scottsdale, AZ 85260 (US). GUO, Chang-Zhi [CN/CN]; 7th Southwest Building #30302, Tsing Hua University, Beijing 100084 (CN).

(74) Agents: SORELL, Louis, S. et al.; Baker & Botts LLP, 30 Rockefeller Plaza, New York, NY 10112-0228 (US).

(81) Designated States (national): CN, JP, KR, US.

(84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

Published:

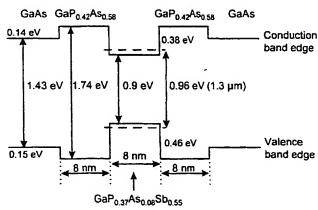
with international search report

(88) Date of publication of the international search report:

25 October 2001

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: LONG WAVELENGTH PSEUDOMORPHIC InGaNPASS'D TYPE-I AND TYPE-II ACTIVE LAYERS FOR THE GAAS MATERIAL SYSTEM



(57) Abstract: The invention discloses improved structures of light-processing (e.g., light-emitting and light-absorbing/sensing) devices, in particular Vertical Cavity Surface Emitting Lasers (VCSELs), such as may find use in telecommunications applications. The disclosed VSCAL devices and production methods provide for an active region having a quantum well structure grown on GaAs-containing substrates, thus providing processing compatibility for light having wavelength in the range 1.0 to 1.6 μm. The active region structure combines strain-compensating barriers with different band alignments in the quantum wells to achieve a long emission wavelength while at the same time decreasing the strain in the structure. The improved functioning of the devices disclosed results from building them with multicomponent alloy layers having a large number of constituents. The invention discloses as a key constituent in the proposed alloy layers for the active region a substance, such as nitrogen (N), suitable for reducing bandgap energy (i.e., increasing light wavelength) associated with the layers, while at the same time lowering the lattice constant associated with the structure and hence lowering strain.

INTERNATIONAL SEARCH REPORT

In stional Application No PCT/US 00/41775

A. CLASSIF IPC 7	FICATION OF SUBJECT MATTER H01S5/343 H01L31/00 H01L33/	00 H01L31/18 H019	53/19	
According to	o International Patent Classification (IPC) or to both national classific	cation and IPC		
B. FIELDS	SEARCHED			
Minimum doi IPC 7	cumentation searched (classification system followed by classificat $H01S$	ion symbols)		
	ion searched other than minimum documentation to the extent that			
1	ata base consulted during the international search (name of data baternal, WPI Data, PAJ, INSPEC, COMP		ed)	
C. DOCUME	ENTS CONSIDERED TO BE RELEVANT			
Category *	Citation of document, with indication, where appropriate, of the re	elevant passages	Relevant to claim No.	
X	US 5 960 018 A (JEWELL JACK L E 28 September 1999 (1999-09-28) column 26, line 54 -column 28, l figures 8-11 column 38, line 8 -column 39, li	ine 50;	1-6, 14-26	
х	HAINS C P ET AL: "ROOM-TEMPERAT OPERATION OF TRIPLE-QUANTUM-WELL LASERS GROWN ON MISORIENTED GAAS SUBSTRATES BY MOCVD" IEEE PHOTONICS TECHNOLOGY LETTER INC. NEW YORK,US, vol. 11, no. 10, October 1999 (1 pages 1208-1210, XP000880896 ISSN: 1041-1135 the whole document	1-6, 14-23		
		-/		
		•		
X Funi	ther documents are listed in the continuation of box C.	Patent family members are liste	ed in annex.	
.V. docnwe	alegories of cited documents .	"T" later document published after the user priority date and not in conflict worked to understand the principle or	ith the application but	
'E' earlier of filing of		invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone		
which	ent which may throw doubts on priority claim(s) or its cided to establish the publication date of another on or other special reason (as specified) nent referring to an oral disclosure, use, exhibition or	"Y" document of particular relevance: the cannot be considered to involve an document is combined with one of	e claimed invention inventive step when the more other such docu-	
other	means ent published prior to the international filling date but than the priority date claimed	ments, such combination being obvious to a person skilled in the art. *å* document member of the same patent family		
Date of the	actual completion of the international search	Date of mailing of the international	search report	
1	13 July 2001	27/07/2001		
Name and	mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk	Authorized officer		
	NL - 2200 TRV Nijsward Tel. (+31-70) 340-2040, Tx. 31 651 epo nl. Fax: (+31-70) 340-3016	Hervé, D	•	

1

INTERNATIONAL SEARCH REPORT

In ational Application No PCT/US 00/41775

(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
(GOKHALE M R ET AL: "HIGH-PERFORMANCE LONG-WAVELENGTH (LAMBDA 1.3 MUM) INGAASPN QUANTUM-WELL LASERS" IEEE PHOTONICS TECHNOLOGY LETTERS,IEEE INC. NEW YORK,US, vol. 11, no. 8, August 1999 (1999-08), pages 952-954, XP000860961 ISSN: 1041-1135 the whole document	1-6, 14-23
X	MIYAMOTO T ET AL: "A NOVEL GALNNAS-GAAS QUANTUM-WELL STRUCTURE FOR LONG-WAVELENGTH SEMICONDUCTOR LASERS" IEEE PHOTONICS TECHNOLOGY LETTERS,US,IEEE INC. NEW YORK, vol. 9, no. 11, 1 November 1997 (1997-11-01), pages 1448-1450, XP000722969 ISSN: 1041-1135 the whole document	1-7
X	EP 0 833 395 A (CANON KK) 1 April 1998 (1998-04-01) column 10, line 25 -column 11, line 42; figure 3	1-11
Α	EP 0 896 406 A (MATSUSHITA ELECTRIC IND CO LTD) 10 February 1999 (1999-02-10) figure 9A	1
A	JOHNSON S R ET AL: "Long wavelength pseudomorphic InGaPASSb type-I and type-II active layers grown on GaAs" 18TH NORTH AMERICAN CONFERENCE ON MOLECULAR BEAM EPITAXY, BANFF, ALTA., CANADA, 10-13 OCT. 1999, vol. 18, no. 3, pages 1545-1548, XP002172052 Journal of Vacuum Science & Technology B (Microelectronics and Nanometer Structures), May 2000, AIP for American Vacuum Soc, USA ISSN: 0734-211X the whole document	1-44

BEST AVAILABLE COPY

INTERNATIONAL SEARCH REPORT

information on patent family members

In Alonal Application No PCT/US 00/41775

Patent document cited in search report	r	Publication date	·	Patent family member(s)	Publication date
US 5960018	A 28-09-199	28-09-1999	US	5825796 A 4588597 A	20-10-1998 17-04-1998
	2.8		WO	9813879 A	02-04-1998
EP 0833395	Α	01-04-1998	JP US	10152399 A 6046096 A	09-06-1998 04-04-2000
EP 0896406	Α	10-02-1999	JP JP	11288886 A 11112096 A	19-10-1999 23-04-1999